Doctoral Program Regulations

D Y PATIL INTERNATIONAL UNIVERSITY,

AKURDI, PUNE

July 2025

From the Desk of Vice Chancellor

With great pride, I present the PhD Regulations of D Y Patil International University, Akurdi, Pune. These regulations embody our vision to nurture an environment of academic excellence, original thinking, and impactful research. At DYPIU, we regard doctoral studies not merely as an academic requirement but as a transformative journey that shapes scholars into leaders, innovators, and change-makers.

Framed in line with the guidelines of the University Grants Commission (UGC) and global best practices, these regulations ensure clarity, transparency, and rigour in every stage of the doctoral programme—from admission and coursework to research supervision, evaluation, and the final award of the degree. They reflect our commitment to providing scholars with strong mentorship, world-class infrastructure, and interdisciplinary and industry-driven research opportunities.

I urge all our research scholars to view these regulations as a guiding framework that will help them uphold the highest standards of academic integrity and contribute meaningfully to knowledge creation for the betterment of society.

Warm regards,

Prof (Dr) Manish Bhalla

Vice Chancellor

D Y Patil International University

Akurdi, Pune

From the Desk of Dean R & D

I am privileged to present the PhD regulations to the students who join D Y Patil International University, hoping to get a doctorate. DYPIU has emerged as a research-oriented university, allowing students to undertake research in an open interdisciplinary field of their choice that spans all the schools. The focus of DYPIU research is translating ideas into applications and societal benefits while allowing basic research.

This policy aims to explain the objectives, research processes, the kind of support provided to researchers and the facilitation of collaborations. I would appreciate it if you go through the policy thoroughly. of course, we are here to help you. There's a committee to provide guidance, resources and support during the course of your PhD. We emphasise research integrity, accountability and ethical approach in all your research endeavours. Engage in R&D and contribute to the nation, the university, and your growth. My sincere acknowledgement to all those who drafted the basic policy and those who helped us modify this in its current format.

I wish to advance our Centre of Interdisciplinary Studies and Research and seek your cooperation. Let us work together.

Dr. Shashi Singh

Dean R&D

D Y Patil International University

Akurdi, Pune

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Abbreviations

UGC – University Grants Commission

DYPIU – D Y Patil International University

CISR – Centre of Interdisciplinary Studies and Research

DC – Doctoral Committee

TAC – Thesis Advisory Committee

TA – Teaching Assistantship

JRF – Junior Research Fellow

SRF – Senior Research Fellow

MoU – Memorandum of Understanding

ROS – Research Orientation Seminar

GATE – Graduate Aptitude Test in Engineering

CSIR – Council of Scientific and Industrial Research

NET – National Eligibility Test

SET – State Eligibility Test

DBT – Department of Biotechnology

DST – Department of Science and Technology

APC – Article Processing Charges

COE – Controller of Examinations

SCOPUS – Scientific & Technical Research Articles Database (Scopus Index)

SCI – Science Citation Index

DPEP- Dissertation Presentation Examination Pane

Doctoral Program Regulations

Overview

D Y Patil International University, Akurdi, Pune, offers an interdisciplinary research program under the Centre of Interdisciplinary Studies and Research (CISR). Doctoral studies conducted under CISR will be managed and supervised by the Doctoral Committee (DC).

Doctoral Committee and functions

a) The Doctoral Committee will be formed by:

Table I. Members of the Doctoral Committee

i.	Vice Chancellor	Chairman
ii.	Dean of Research	Member Secretary
iii.	Director, Centre of Interdisciplinary Studies and Research	Convener
iv	Four members approved by the Vice Chancellor	Nominated Member (s)

b) The four nominated members must belong to different disciplines and will have a tenure of 3 years as members of DC.

c) If any member goes on extended leave, resigns, or retires from the Institute, the Vice Chancellor will nominate another member in consultation with the Dean of Research.

Educational Qualifications

The candidates seeking admission to the PhD programme must satisfy the following eligibility criteria:

a) The candidate should have a Master's degree in Engineering/Technology with a first class or a minimum 55% marks (CGPA 6.5 on a 10-point scale)

or

Master's degree (MBA/MCom/MCA/MSc/MSc (Engineering)/M.S. by Research) with a minimum 55%

or

an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognised or authorised by an authority, established or incorporated under a law in the home country or any other statutory

authority in that country to assess, accredit or assuring quality and standards of educational institutions

Note: Other relevant Master's degrees approved by UGC may be considered for admission based on the current research at DYPIU.

b) Candidates possessing a degree considered equivalent to MPhil from an Indian Institution/ Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority established or incorporated under a law in its home country or another statutory authority in that country to assess, accredit or assuring quality and standards of educational institutions, shall be eligible for admission to PhD program.

c) For SC/ST/persons with disabilities and those who have obtained a Master's degree before 19th Sept 1991, may be given a relaxation as per UGC guidelines.

d) Candidate seeking admission after a 4-year/8-semester degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.

Categories and Eligibility

Full-time Candidates

A candidate wishing to pursue a PhD degree should apply on the online application portal open throughout the year. These candidates will carry out research work full-time on campus. Full-time candidates may receive a teaching assistantship based on availability. Those candidates who do not receive a teaching assistantship have to sustain themselves on their own.

OR

Full-time candidates may seek a research assistantship from the grants of individual faculty members.

Part-time candidates

Candidates fulfilling minimum qualifications may be permitted to pursue their PhD with DYPIU on a part-time basis, but they should apply via the online application portal, which is open throughout the year. **Coursework must be taken at DYPIU in person.** Part-time candidature can be approved under the following categories:

i) ***Sponsored Category***

This category includes sponsored candidates from the organisations with whom DYPIU has established an MoU. Candidates employed in recognised R&D organisations, Research Labs in industry, employed as regular faculty in colleges and universities and desirous of pursuing a PhD may apply for admission in the PhD program.

ii) ***Sister Institution Category***

This category includes candidates from the sister organisations of DYPIU. Candidates employed as regular staff in colleges and universities in the premises of D Y Patil Education Complex, Akurdi, Pune may apply for admission in PhD program at DYPIU.

iii) ***External Category***

This category includes external candidates from organisations with whom DYPIU does not have an MoU. Candidates employed in recognised R&D organisations, Research Labs in industry, employed as regular faculty in colleges and universities and desirous of pursuing PhD studies may apply for admission in the PhD program.

iv) ***Internal category***

This category applies to candidates who are employed as regular full-time faculty or staff members of D Y Patil International University (DYPIU), Akurdi, Pune. Faculty members who wish to pursue a PhD part-time while continuing their teaching or administrative responsibilities at DYPIU may apply under this category.

Part-time students must also spend at least 6 months on campus after registration and upon completion of coursework to earn their PhD degree. Any registered PhD student can convert a full-time PhD candidature into a part-time candidature after 2 years of registration.

AND

Part-time candidates must get a letter of approval from their employers at the time of admission so that they can spend time at the campus to fulfil their coursework.

Admission Procedure:

a) Applications will be invited by open advertisement on the DYPIU website/ all leading newspapers/local announcements for all categories of candidates mentioned in section 2. Application will be accepted only if made via the DYPIU admission portal.
b) The candidate shall apply online for a PhD admission programme on the DYPIU website as per the admission notification. Candidates must upload the statement of purpose and curriculum vitae at the time of application. The statement of purpose should include their experience, research motivation and a proposed plan for future research.
c) Each applicant must achieve a 50% score in the entrance test to qualify for the interview. This entrance test can be conducted in person or online, depending on the number of applicants.
d) Selection of the candidate will be based upon their performance in the previous university examinations at the Bachelor's and Master's levels. Additionally, the performance in the written test and the interview will be considered with equal weightage.
e) Exemption from the written test: Candidates qualified in GATE/CSIR-UGC/NET/SET/DBT/DST scientist / JRF may be exempted from the written test requirement. However, they will be required to appear for a personal interview.
f) The interview shall consist of the following aspects. viz. whether:

i) The candidate possesses the competence for the proposed research;
ii) The proposed research work can be suitably undertaken at an institution.
iii) The proposed area of research will contribute new/additional knowledge/can solve societal problems.

g) The list of selected candidates will be displayed on the notice board/university website; however, the final admission will be given after verifying eligibility as per the provisions of the relevant university regulations.
h) All qualified candidates willing to pursue a PhD program at DYPIU must complete the admission process by submitting the fees before the beginning of the following semester. PhD admission process can be deferred by a maximum of one semester with special approval from the Vice-Chancellor.
i) The University shall maintain the list of all the PhD registered students on its website year-wise. The list shall include the name of the registered candidate, topic of the research, name of his supervisor/co-supervisor, and date of enrolment /registration.

Duration of the Programme

a) Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
b) A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the Higher Educational Institution concerned; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
c) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
d) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

Course Registration

All PhD students at DYPIU are considered as PhD candidates or Junior Research Fellow (JRF) until they pass their Course work examination. Upon passing the Course work exam, the PhD candidate will be referred to as a PhD scholar or Senior Research Fellow (SRF).

a) Every semester (Winter and Monsoon) a PhD student (candidate/scholar) has to do a course registration as a student.
b) All PhD candidates and PhD Scholars who are keeping their registration alive, should pay the prescribed fees every semester/year till their submission of the thesis.
c) The course registration of a PhD student who has not registered in person for any one semester is liable to be cancelled by the DC.

d) During their PhD, scholars have to essentially complete a period of two years of Residency after clearing their coursework examination. PhD scholars cannot apply for long leave during this period.
e) Conversion of the PhD program from full-time to part-time and vice versa can be done only after PhD registration. It will come into effect only at the start of the subsequent semester with permission from the supervisor. The decision must be made in writing to the doctoral committee.

Teaching Assistantship

a) All full-time PhD students are eligible to apply for a paid Teaching Assistantship (TA) as per university norms.
b) Any PhD student holding a grant cannot apply for TA until the grant is valid. However, the student must be available for any assistance required at the University.
c) Faculty members must indicate their TA requirements to the Head of their Program at the beginning of any semester. The Head of the Program will advertise the TA requirements of their department. Each PhD student must apply for the TA position directly to the concerned faculty members for the course of their interest in each semester. The faculty of the concerned subject must evaluate the student before confirmation.
d) PhD students can avail TA for not more than 10 semesters.
e) As per UGC guidelines, PhD Students must dedicate required number of hours/weeks for Teaching Assistantship (TA).
f) Teaching Assistantship performance will be evaluated by the respective teaching faculty after the completion of the course on the Satisfactory/Unsatisfactory scale.
g) Teaching assistants should complete all the tasks assigned to them by the faculty of the course before the end of the semester. A TA cannot be relieved from their position until the tasks are declared to be complete by the faculty.
h) No TA can be relieved from their responsibilities during an ongoing semester.
i) PhD candidate or JRF will be paid a consolidated stipend of Rs 31,000+HRA as applicable. After two years, based on the research work progress presentation, the JRF is promoted as SRF in the subsequent semester and paid a consolidated stipend of Rs 36000+HRA as applicable.

Eligibility criteria for Research supervisor, Co-supervisor

a) Any regular Professor of the University with a PhD and at least five research publications in refereed journals ($) and Associate/Assistant Professor of the university with a PhD and at least two research publications in refereed journals may be recognised as a Research Supervisor.
$ the publications should be indexed journals listed in the Web of Science / SCOPUS/ Indian Citation Index as per UGC norms.

b) Only full-time faculty of DYPIU can be research supervisors. External supervisors are not allowed. However, co-supervisor can be allowed from interdisciplinary areas from the DYPIU or any other organization (Academia/Research Institution/Industry) with approval of the DC.

c) The number of research candidate can be supervised by:

- *i.* The Research supervisor who is Professor is eligible to guide 8 PhD students at any given point of time.
- *ii.* The Research supervisor who is Associate Professor is eligible to guide 6 PhD students at any given point of time.
- *iii.* The Research supervisor who is Assistant professor is eligible to guide 4 PhD students at any given point of time.
- *iv.* Any supervisor can take maximum two candidates in an academic year

d) There shall not be more than two supervisors for a PhD student.

e) Prospective supervisors should have a minimum of three years of service left at the institute.

f) Discontinuation of faculty members as supervisor:

- *i.* Any supervisor who discontinues his/her full-time faculty position at DYPIU may be permitted to continue as a co-supervisor upon approval from the Doctoral Committee in consultation with the Vice-Chancellor.
- *ii.* If the PhD student has a co-supervisor, he/she will be assigned the role of the supervisor for the administrative work and the research responsibilities of the student. In case there is no previously assigned co-supervisor, DC must reallocate a supervisor for the student.
- iii. If the work done for PhD is nearing completion (more than 80%), then the Supervisor will continue to be the supervisor and for administrative purposes a co-supervisor will be assigned from DYPIU.

Course Work Examination

a. The Course Work Examination will be conducted under the supervision of the Doctoral Committee.

b. No PhD candidate will be permitted to take the coursework examination unless

- *i.* Tuition and other fees as prescribed are paid
- *ii.* hostel and library dues up to the month are cleared

c. It is mandatory to qualify for the Core Course Work Examination to continue the PhD program. The core course can be completed in a maximum of one year, and it is mandatory to qualify for this exam to continue the PhD. Elective and mandatory courses can be completed during the course of PhD work.

d. Every student must register for the Core Course Work examination one months before the examination.

e. DC will appoint the experts to design the Core Course Work examination question paper.

f. Any coursework exams will be conducted at the beginning of December and May of each year.

g. A student has to pass the Core Course work examination in a maximum of two attempts within two years of enrolment. In case the PhD student does not qualify even after 2 attempts, the student may request for a third (and last) attempt to the Dean of Research. Upon reviewing the case, a special consideration for a third attempt can be made after the Vice-Chancellor's approval. Upon due approval, the student will be assigned with a Faculty mentor who must follow the student's progress every week until the next decided Course work examination in which the student can appear.

h. All PhD students at DYPIU are considered as PhD candidates until they pass their Core Course Work examination. Once qualified, the student will be declared and registered as a PhD scholar of DYPIU.

i. After passing the Core Course Work examination, a PhD scholar has to submit the research proposal and conduct an open seminar evaluated by an examination committee. This open seminar has to be conducted in the beginning of the subsequent semester. The examination committee members can be suggested by the supervisor/DC but has to be approved by a Doctoral committee one month before the proposal submission. The examination committee must include 3 members:
One of the members should be a TAC member, and the other two must be from the other faculty members of DYPIU. An external evaluator (outside of DYPIU) can be included in the committee based on the supervisor's discretion.

j. The research proposal should include:

i. Introduction
ii. Extensive literature review
iii. Research gaps
iv. Why this research?
v. Problem statement
vi. Objectives of the research
vii. Scope and limitations if any
viii. Methodology tools and techniques planned
ix. Timeline (GANTT CHART)
x. Bibliography

Candidate has to submit 1 copy of the research proposal to each of the members of the examination committee and the Doctoral Committee. The examination committee will evaluate the proposal and will give recommendation such as;

i. Recommended
ii. Recommended with modification
iii. To be recommended after major corrections
iv. Not approved

The recommendation will be based on the proposal and the open seminar.
In case of Not approved recommendation from the examination committee, the student must resubmit the proposal within the time suggested by the examination committee.

k. If the proposal is not approved twice, the student's candidature will be cancelled.

l. The University shall maintain the list of all the Ph.D. registered students on its website on a year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

Coursework and Credit Requirement

a) The minimum credits assigned to the PhD coursework have to be earned by the research candidate as per the table below:

Table II. PhD course work and credit requirements

Category	Highest degree	Course work credit	Minimum research credits to get degree
I	B Tech	23	120
II	M Tech/ ME M Pharma	17	120
III	Integrated MTech	20	120
IV	MSc/MCA/MBA/MCom	20	120

Note: *Minimum Coursework Duration can be of 6 months for Categories II, III and IV and for Category I, it will be a minimum of one year.*

b) The coursework shall be treated as a prerequisite for Ph.D. preparation.
c) Courses organised by the CISR should be under the course code SIS701 onwards
d) The list of elective choices as mentioned in Table III, for a student must be approved by the DC/Dean of Research and each student must register for the elective courses one month prior to the beginning of the semester.
e) Research credits as mentioned in Table II will be counted only after the student has passed the coursework examination. A minimum of two years of research credits is needed to complete the degree. Thirty credits will be assigned to each semester of research.

Table III. PhD course credit distribution

Course 1	Core Course	Research Methodology and Ethics	4 credits
Course 2	Core Course	One Seminar	2 credits
Course 3	Core Course	Computational Thinking	2 Credit
Course 4	Core Course	Statistics	2 credits
Course 5	Electives	Three subject-specific advanced-level courses (Category I)	3 credits each
		One subject-specific advanced-level course (Category II)	3 Credit each

		Two subject-specific advanced-level courses (Category III and IV)	3 Credit each
Course 6	Mandatory	Pedagogical Training/Industrial Visit Report/Assessment Statement	2 Credit
Course 7	Mandatory	Conference /Workshop National/International)	2 credits

f) The standards, regulations, requirements and assessment methods of all the courses prescribed for the PhD course work are to be approved by the Centre of Interdisciplinary Studies and Research .
g) The research coursework may comprise the lectures, laboratories, assignments, design work, minor projects, seminar, self-study courses depending on the recommendation of DC. In case, the class strength of a particular elective does not exceed 6 students, responsible faculty can choose to teach the course using approved MOOC platforms like NPTEL, Coursera or self-study mode. The responsible faculty must evaluate the progress of the student on a regular basis to ensure proper progress in self-study mode.
h) All PhD students must complete the coursework in the prescribed semesters. However, due to some genuine reason, if a particular student couldn't complete the course work, then based on DC's permission one semester extension can be granted. Failing to complete the course work in an extended course period leads to the cancellation of admission.
i) All grade sheets pertaining to the coursework of all research candidates shall be issued by the Controller of Examinations (COE).
j) Coursework results should be declared within one month of conducting the examinations. The final marks are to be submitted to the COE.
k) Course-wise grade shall be given based on the total marks obtained by the student in the respective course/s. Grades will be given based upon the relative grading system. Every PhD student has to obtain a minimum of a C+ grade in each course and a minimum GPA of 6.00 to be eligible to continue in the program.
l) Scale in the coursework to be eligible to continue in the programme and submit his or her thesis
m) Level-4 or above courses taken outside of DYPIU and MOOCs can be considered for coursework credits with due approval from the Supervisor and the DC. In case the course/training/MOOC has not been evaluated by the organisers, it must be evaluated by the Centre of Interdisciplinary Studies and Research by appointment of a responsible faculty from the University. Micro-credits taken from DYPIU can also be considered for PhD course-work credits.

Selection of Research Supervisor

a) DC will organise a research orientation seminar (ROS) for incoming students at the beginning of each semester. All the faculty who are eligible for PhD supervision must give a seminar on their research area/domain.
b) Each student must submit their supervisor preference (maximum three) to the Doctoral Committee by the end of their 6th month of their registration as a PhD scholar.
c) Supervisor can be decided based on:
    i. **Rotatory process:** The student opting for this method must choose at least two faculties to work with for a period of 2 weeks each. This process of identifying the supervisor can be pursued anytime between the student's enrolment in DYPIU PhD program and within the two months of their successful completion of Course work examination. At the end of these two rotations, students must submit their supervisor preferences to the Doctoral committee. In the event of not being able to find the faculty of choice after two rotations, students can opt for a maximum of a third rotation. Any student must approach the faculty of their preference to apply for rotations. Also, any faculty can take a maximum of 4 students for rotation in a particular intake and 2 students for rotation at a particular time.
    ii. **Direct process:** A student can directly choose his/her supervisor if the faculty member and the student have reached an agreement of supervision in advance.
    iii. **Grant-based process:** If a student comes with his/her own grant or on the supervisor's grant, he/she can directly register under the supervisor.
d) Once the research supervisor has been finalised by the PhD student (by any of the methods mentioned in Section 9(c)), DC must be intimated formally.
e) The supervisor then must form the Thesis Advisory Committee (TAC) for the student. This committee should include an interdisciplinary team of 2 researchers with different research domains. This committee must be approved by the DC within 1 month of the registration of the student. The Co-supervisor, if any, cannot be a member of the TAC.
f) The tentative title of the thesis must also be indicated along with the TAC member list. The thesis title may be changed later before thesis submission based on suggestions from TAC and the supervisor.
g) If the supervisor has more than one student, then the supervisor must ensure that at least one of the TAC members is unique for each student. DC will hold the supervisor responsible for any such discrepancy.

Procedure for Monitoring the Progress of PhD Scholars

a) A PhD Scholar shall submit a research progress report within two weeks before the end of each six-month period from date of registration in the prescribed format to the supervisor and TAC. One copy must be submitted to the DC for reference.
b) The report should clearly indicate the progress achieved and cover the following points.
    i. Thesis proposal status
    ii. Course work completion status
    iii. Research Progress made during the period of the report
    iv. Publications/Monogram/Copyrights/ Patents/Workshop/Conferences report if any

v. Problems/difficulty faced if any
vi. Plan of action for future work

c) TAC shall meet at least once in six months. All the presentations to the TAC will be open doors. TAC may continue a closed-door discussion about the progress of the student and future directions of the research.
d) TAC would review the progress and suggest further research if required. A report from the TAC must be submitted to DC within 10 days of the meeting. A minimum of 2 such reports must be submitted per year (before June and November of each year) in order to continue the scholar's candidature.
e) If the progress of the PhD Scholar does not meet the action plan as per proposed time, the TAC shall record the reasons for the delay, warn the PhD scholar and suggest corrective measures. If the TAC finds that the progress is not satisfactory even after two such warnings, they must inform the DC, who will decide on the candidature of the research scholar. In such a case, the candidate can appeal through the research supervisor for reconsideration, however, the decision of the DC will be final.

Pre submission synopsis

a) A PhD candidate, who has to publish two research articles in peer reviewed journals indexed in national/international citation databases, is eligible to submit the Pre-submission Synopsis. In case of patent application/approval, the requirement of publications may be exempted. Each of these publications/patent applications must be reported to the Dean, Research and Development before submission.
b) All full-time PhD Scholars should submit the pre-submission synopsis of their thesis within four years from the date of their PhD registration. The DC may extend the period of submission of thesis by not more than one year on valid reasons on the basis of one semester at a time.
c) All part-time PhD Scholars should submit their pre-submission synopsis of their thesis within five years from the date of their PhD registration. The DC may extend the period of submission of thesis by not more than one year on valid reasons on the basis of one semester at a time.
d) The PhD registration of a Scholar who has not submitted the pre-submission synopsis of their thesis by the end of the extended period as provided in the Regulations will be cancelled by the DC.
e) Revocation of cancellation may be considered only when a draft copy of the pre-submission synopsis of the thesis is submitted to the DC. Revocation would require approvals from supervisor, DC and the Vice Chancellor.
f) Once the candidate is ready for thesis submission, with approval from the supervisor, the candidate must submit a 5-page synopsis of their research work to the TAC. They will review the synopsis and invite for an open seminar within 15 days of the submission. This open seminar must be organised by the DC.
g) During the seminar, the TAC will review the amount and quality of work done by the scholar and will submit their report to the DC within 10 days of the open seminar. If the TAC finds the work suitable for the submission of the thesis, the scholar must

submit their thesis within 6 months of the approval. However, if the TAC finds his/her work unsatisfactory, the scholar may be asked to conduct further research and present the work again within the specified time suggested by the TAC.

Financial Support for Registered PhD Scholars for Presenting the Research Paper in National/International Conferences

a) The University will provide a grant of Rs. 50,000/- as a onetime grant for the expenses towards research associated activities, like APC for article processing, attending conferences etc.
   i. The scholar/student can claim travel support until the date of the Final Viva-Voce Exam. The student should be a regular registered student (student who has cleared the Course work exam) while availing of financial support.
   ii. The registered student/scholar seeking financial assistance needs to be a first author and the work should have been carried out at DYPIU. The student and his supervisor should be an author in the paper.
   iii. The application for financial support is to be submitted to Dean R&D at least 15 days in advance of the travel date. The application form should come with specific recommendations from the Thesis Supervisor.
b) A registered PhD student can avail the financial support for attending any number of conferences with a gap of six months minimum, during their PhD program, subject to the maximum of Rs. 50,000/-.
c) The reimbursement will include the Registration Fee and AC3T Train fare by the shortest route.
d) The student has to declare all their support received from other sources while making a claim by producing the original receipts, including relevant supporting documents.
e) Former students who have done their work at DYPIU are not eligible for this grant if they are employed elsewhere. Students who are enrolled for part time PhD while working elsewhere are not eligible for this grant.
f) Financial support is not an entitlement but will be decided on a case-by-case basis depending on the quality of the conference and its ranking; connect with publishing houses, and peer review.
g) Students who claim financial support must submit a copy of the attendance certificate and a short report on their learning and interactions at the conference to Dean R&D.

Thesis submission

a) The thesis submitted for a PhD degree should show a significant contribution to advancement of knowledge in the candidate's chosen field of study. It will be evaluated primarily on the quality and quantity of its contribution to new knowledge, interpreted in the widest sense, to include instrumentation, design, development and applied work of an innovative-adoptive nature.
b) The candidate shall submit the thesis within six months from the date of pre-submission seminar in which the thesis was approved for submission. In any other circumstances,

another three months extension can be approved by the DC in consultation with the Vice-chancellor and the supervisor.

c) The candidate must submit the Thesis evaluation fees while submitting the thesis for evaluation. The fee amount will be fixed for all as per the University norms.
d) Thesis must be written in English in the prescribed format.
e) Research candidate has to check the plagiarism by a GC approved software. The similarity percentage should not be more than 10%**.** The plagiarism report must be submitted along with the thesis.
f) Every student must comply with the guidelines for ethical code of conduct in research. If a student is performing clinical research, he/she must get ethical clearance from the concerned regulatory authorities.

Thesis evaluation

a) The pre-submission evaluation process would facilitate finalisation of a panel of examiners for evaluation of the thesis, in advance. The TAC in consultation with the supervisor will recommend a panel of at least eight international experts in the subject area for evaluation of the thesis.
b) Criterion for examiners suggested-
   i. The examiners suggested, should not have worked at DYPIU in the last 5 years.
   ii. The examiners should be from the domain of work submitted with at least 2 publications in SCI journals.
   iii. The examiners should not have any collaborations with the supervisors and/or student during the last 5 years.
   iv. A Ph.D. thesis shall be evaluated by three external examiners. At least one of the external experts will be from outside the country.
   v. The external examiners should be a Professor / Associate Professor from an institute /University of repute.
   vi. The scientist F/ Joint Director or higher education of Institute or from industry having a PhD degree can also be an external examiner.
   vii. If the above criterion is not met then DC will appoint appropriate evaluators from the same domain of research.

c) The DC will review and submit the list of suggested experts for final approval from the Vice-Chancellor. DC will also ensure the evaluation process of the thesis. The Vice-Chancellor will finalize three relevant examiners, who will be contacted and registered by the DC for the thesis evaluation. DC would invite the examiners and enclose a copy of the synopsis therewith.
d) On receiving their consent, the DC would refer the soft copy of the thesis to the three examiners for evaluation upon its submission. Thesis must be evaluated within three months of thesis acceptance by the examiners. DC must follow up with the examiners on regular intervals during this time.

Thesis Examination Report

a) Each examiner is expected to send a detailed report of his/her evaluation of the thesis within 60 Days of the receipt of the thesis. The report should highlight the contributions of the thesis, its strengths and weaknesses, modifications / corrections / clarifications if any needed and should include a recommendation regarding the acceptability of the thesis for the award of the PhD degree.
b) In case of undue delay (maximum one month) in receiving the evaluation report from any examiner, the DC shall appoint another examiner in his/her place for evaluating the thesis.
c) If two out of the three thesis examiners commend the thesis, with either minor or major modifications, the candidate may proceed to the final Dissertation Presentation after incorporating the suggested changes, if any. It shall be the responsibility of the PhD scholar, along with the Supervisor and Co-supervisor, to ensure that all recommended modifications are incorporated into the thesis prior to the final Dissertation Presentation.
d) If all three examiners, or any two of them, evaluate the thesis as 'Not Commended', the candidate will be required to conduct further research per the examiners' recommendations and resubmit the thesis within six months for re-evaluation.
e) If the resubmitted thesis is again not commended by any two of the thesis examiners, the candidature will be cancelled.

Dissertation Presentation

a) Based on the recommendations of the thesis examiners, DC will consider the reports and recommend to conduct a dissertation presentation within 2 months of the reception of the examiner reviews. DC must ensure that at least one of the thesis examiners is present during the dissertation presentation of the candidate.
b) Following is the composition of the Dissertation Presentation Evaluation Committee:
    a. One examiner of the thesis – Member
    b. Two examiners appointed by the DC after consultation with the supervisor
c) The date of viva-voce shall be communicated to the candidate at least fifteen days in advance.
d) TAC members, the scholar concerned, all the members of the Centre of Interdisciplinary Studies and Research , the staff and students of the University will be invited to the Dissertation Presentation. DC will give an open invitation to the University for the dissertation presentation at least one week before the schedule Viva Voce.
e) At the dissertation presentation, the scholar will first give a seminar on his / her thesis work. The examination panel then examines the scholar on his / her thesis work. The scholar is expected to satisfactorily answer all the questions raised by the thesis examiners; members of the Dissertation Presentation Examination Panel (DPEP) and the general audience present for the dissertation presentation. In case of difference of opinion among the members of DPEP, separate reports shall be submitted. DC shall collect the report(s) of DPEP in a folder, which will also contain a) A copy of the thesis along with the Supplement, if required, and (b) All the reports of the examiners of the

thesis. The recommendations of the DPEP shall be submitted to the Vice- Chancellor for the approval of the award of degree.

f) The scholar must answer the thesis examiners' review questions during their dissertation presentation and include the relevant modifications in the revised thesis before final submission. Thesis must also be revised based on the questions and comments made during the dissertation presentation.
g) If the DPEP evaluates the performance of the scholar at the dissertation presentation as Satisfactory, it will send a report highlighting the proceedings of the panel signed by all the members to DC.
h) The report of the DPEP should include the following:
    i. A brief summary of the thesis highlighting the contributions of the scholar.
    ii. Summary of the reports of the thesis examiners including both the positive and negative points.
    iii. A note on the dissertation presentation of the scholar.
    iv. A summary of the suggested modifications / corrections.
    v. Evaluation of the performance of the scholar by the board as Satisfactory or Not Satisfactory.
    vi. Recommendation to the DC regarding the acceptance of the thesis and award of PhD degree to the scholar.
i) Upon approval by the DPEP, the scholar must submit a final corrected soft and hard copy of the thesis to be lodged in the University's central library. The submitted thesis must incorporate all the corrections suggested by the thesis examiners and DPEP.
j) Online Dissertation Presentation may be conducted upon DC's approval.
k) If any other matter not explicitly provided herein or of an exceptional nature arises, it may be referred to the Vice Chancellor for his decision.
l) DC will submit the report of all the DPEP to CoE for further processing of the award of a PhD Degree.
m) CoE will notify all the award of PhD degree (s) in the academic council.

Repository

After successful completion of the examination process, the copy of the thesis (Hard and soft) will be kept open to access for all university stakeholders in the National repository and the central library of DYPIU.

Disciplinary Regulations

Suppose a Ph.D. candidate is found to be involved in an act of misconduct, misbehaviour and/or indiscipline. In that case, disciplinary action will be taken by the University as per the Regulations relating to the maintenance of Discipline by students.

Award of PhD Degree

a) Based on the recommendation of the DPEP, the University would award the PhD degree to the scholar after due approvals by the DC.
b) After successfully completing the degree, University shall issue the provisional degree certificate as per UGC min guidelines.
c) The degree awarded must indicate the name of the scholar, the thesis title and the date of final submission (final thesis submission to the Centre of Interdisciplinary Studies and Research).

Withdrawal of degree

In case of any complaint of plagiarism or any research misconduct, the Vice-Chancellor can form a committee to investigate such cases. The university can withdraw the awarded degree if the committee finds the complaints valid.

Power to Modify

Notwithstanding all stated above, the DC has the right to modify any of the above Regulations from time to time in consultation with the Vice-Chancellor.

Leave Policy

a) Any PhD student can avail a maximum of 25 days of leave/year upon approval from the Supervisor.
b) A PhD student can take a maximum of a semester long break from the PhD candidacy in the event of genuine reasons approved by the DC and the Supervisor.
c) If a student needs a break longer than a semester, special permission from the Vice Chancellor would be required